## Scene Text Recognition

Share & Learn Seminar

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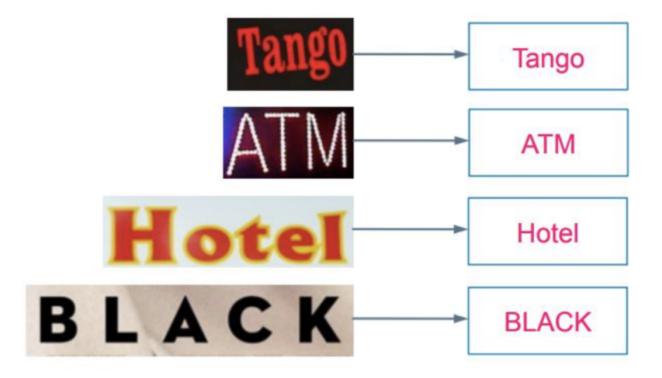
### Problem Definition





Scene text detection is the process of predicting the presence of text and localizing each instance (if any), usually at word or line level, in natural scenes

### Problem Definition



Scene text recognition is the process of converting text regions into computer readable and editable symbols

## Challenges

#### Traditional OCR vs. Scene Text Detection and Recognition



#### Labeling/Annotation:

- Char-level
- Word-level
- Line-level

- clean background vs. cluttered background (uneven lighting, low resolution, heavy occlusions, etc.)
- regular font vs. various fonts
- plain layout vs. complex layouts
- monotone color vs. different colors

- Curved text
- Arbitrarily oriented text
- Perspective distortion
- Multi-language

### CRNN (2015)

An End-to-End Trainable Neural Network for Image-based Sequence Recognition and Its Application to Scene Text Recognition

(<a href="https://arxiv.org/abs/1507.05717">https://arxiv.org/abs/1507.05717</a>)

#### **CRNN Network Architecture**

"The architecture consists of three parts:

- 1) convolutional layers, which extract a feature sequence from the input image;
- 1) recurrent layers, which predict a label distribution for each frame;
- 1) transcription layer, which translates the per-frame predictions into the final label sequence."

Predicted "state" sequence Transcription Layer Per-frame predictions (disbritutions) Deep bidirectional **LSTM** Recurrent Layers Feature sequence Convolutional feature maps Convolutional Layers Convolutional feature maps Input image

# Convolution Layers: Feature Sequence Extraction

Convolution	#maps:512, k:2 $\times$ 2, s:1, p:0
MaxPooling	Window: $1 \times 2$ , s:2
BatchNormalization	-
Convolution	#maps:512, k:3 $\times$ 3, s:1, p:1
BatchNormalization	-
Convolution	#maps:512, k:3 $\times$ 3, s:1, p:1
MaxPooling	Window: $1 \times 2$ , s: 2
Convolution	#maps:256, k:3 $\times$ 3, s:1, p:1
Convolution	#maps:256, k:3 $\times$ 3, s:1, p:1
MaxPooling	Window: $2 \times 2$ , s:2
Convolution	#maps:128, k:3 $\times$ 3, s:1, p:1
MaxPooling	Window: $2 \times 2$ , s:2
Convolution	#maps:64, k:3 $\times$ 3, s:1, p:1
Input	W  imes 32 gray-scale image

- Based on the VGG architectures
- 7 Conv + 4 MaxPool + 2 BatchNorm
- 1×2 pooling window: yield feature maps with larger width, hence, longer feature sequence.
- BatchNorm: speed up training process

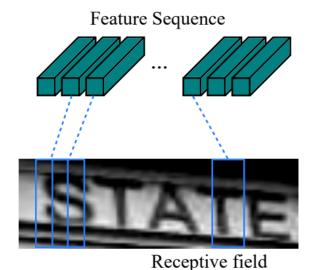
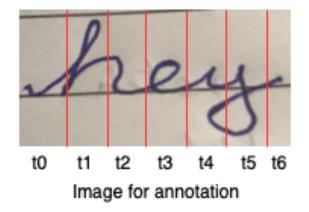


Figure 2. The receptive field. Each vector in the extracted feature sequence is associated with a receptive field on the input image, and can be considered as the feature vector of that field.

# Transcription Layer: Connectionist Temporal Classification (CTC)







Annotation

(a)



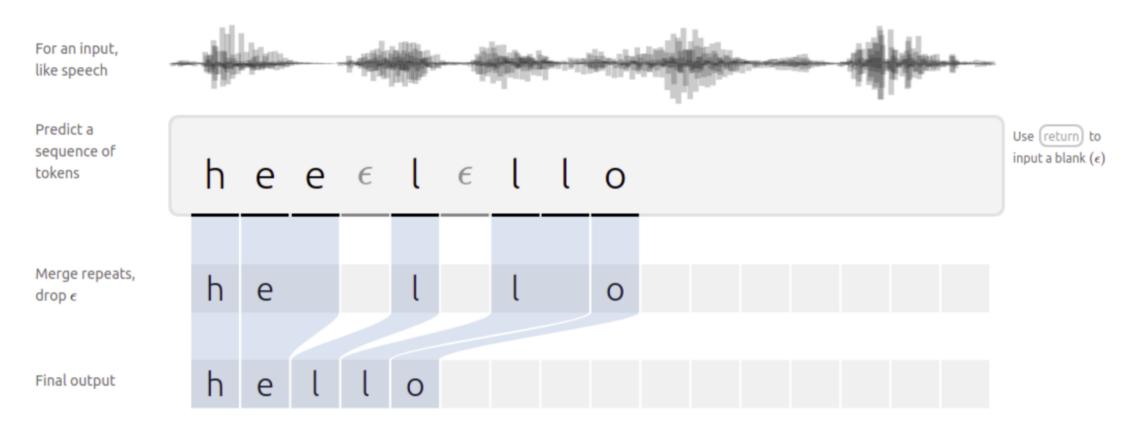
Annotation

(b)

- Convert the per-frame predictions made by RNN into a label sequence
- Introduce "blank" labels (different from space labels)
- Solve alignment issue
- CTC loss uses forward-backward algorithm to quickly update gradients.

### CTC (continued)

#### How CTC collapsing works



### CTC (continued)

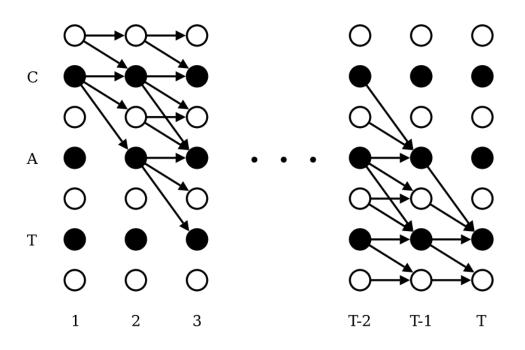


Figure 3. illustration of the forward backward algorithm applied to the labelling 'CAT'. Black circles represent labels, and white circles represent blanks. Arrows signify allowed transitions. Forward variables are updated in the direction of the arrows, and backward variables are updated against them.

The formulation of the conditional probability is briefly described as follows: The input is a sequence y = $y_1, \ldots, y_T$  where T is the sequence length. Here, each  $y_t \in \Re^{|\mathcal{L}'|}$  is a probability distribution over the set  $\mathcal{L}' =$  $\mathcal{L} \cup$ , where  $\mathcal{L}$  contains all labels in the task (e.g. all English characters), as well as a 'blank' label denoted by . A sequence-to-sequence mapping function  $\mathcal{B}$  is defined on sequence  $\pi \in \mathcal{L}'^T$ , where T is the length.  $\mathcal{B}$  maps  $\pi$  onto 1 by firstly removing the repeated labels, then removing the 'blank's. For example, B maps "--hh-e-l-ll-00--" ('-' represents 'blank') onto "hello". Then, the conditional probability is defined as the sum of probabilities of all  $\pi$  that are mapped by  $\mathcal{B}$  onto 1:

$$p(\mathbf{l}|\mathbf{y}) = \sum_{\boldsymbol{\pi}: \mathcal{B}(\boldsymbol{\pi}) = \mathbf{l}} p(\boldsymbol{\pi}|\mathbf{y}), \tag{1}$$

where the probability of  $\pi$  is defined as  $p(\pi|\mathbf{y}) = \prod_{t=1}^{T} y_{\pi_t}^t$ ,  $y_{\pi_t}^t$  is the probability of having label  $\pi_t$  at time stamp t. Directly computing Eq. 1 would be computationally infeasible due to the exponentially large number

## RARE (2016)

Robust Scene Text Recognition with Automatic Rectification (https://arxiv.org/abs/1603.03915)

# ASTER (2018)

ASTER: An Attentional Scene Text Recognizer with Flexible Rectification (https://ieeexplore.ieee.org/document/8395027)

### RARE/ASTER

Replace CTC layer with an attentionbased decoder. Add a rectification module before recognition. Rectified Image Input Image Text Text Recognition Rectification Storage" Network Network

Fig. 2. Overview of the proposed model. Dashed lines show the flow of gradients.

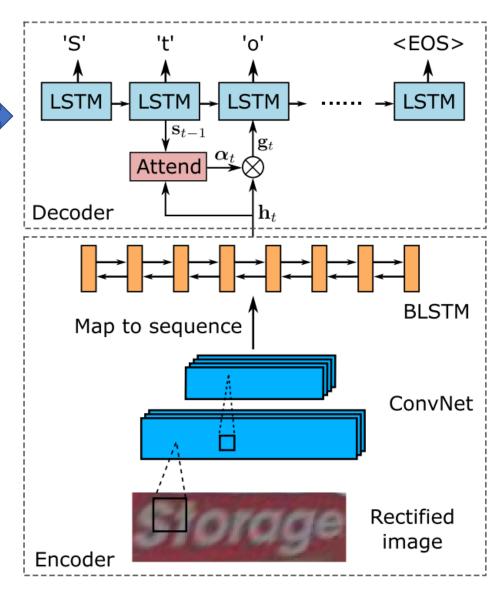


Fig. 7. Structure of the basic text recognition network.

#### Why do we need

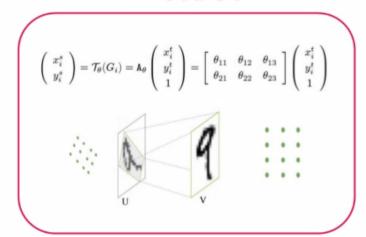
#### **Spatial transformer networks?**

Are Convolutional Neural Networks invariant to...

- Scale? No
- Rotation? No
- Translation? Partially

#### **Examples**

#### Affine transform



 $(x_i^t, y_i^t)$  - coordinates in the target (output) feature map  $(x_i^s, y_i^s)$  - coordinates in the source (input) feature map

#### Attention model

$$\begin{pmatrix} x_i^s \\ y_i^s \end{pmatrix} = \mathcal{T}_{\theta}(G_i) = \mathbf{A}_{\theta} \begin{pmatrix} x_i^t \\ y_i^t \\ 1 \end{pmatrix} = \begin{bmatrix} s & 0 & t_x \\ 0 & s & t_y \end{bmatrix} \begin{pmatrix} x_i^t \\ y_i^t \\ 1 \end{pmatrix}$$

https://arxiv.org/abs/1506.02025

Spatial Transformer Networks (STN) is a differentiable module that can be inserted anywhere in ConvNet architecture to increase its geometric invariance. It effectively gives the network the ability to spatially transform feature maps at no extra data or supervision cost.

This slide comes from SlideShare by Victor Campos (Link).

#### https://goo.gl/qdEhUu



### **ASTER Rectification Samples**



For every two rows, the first row contains the input images (top), the predicted control points (visualized as green crosses), and the rectified images (bottom). The second row contains the recognition results.

# MORAN(2019)

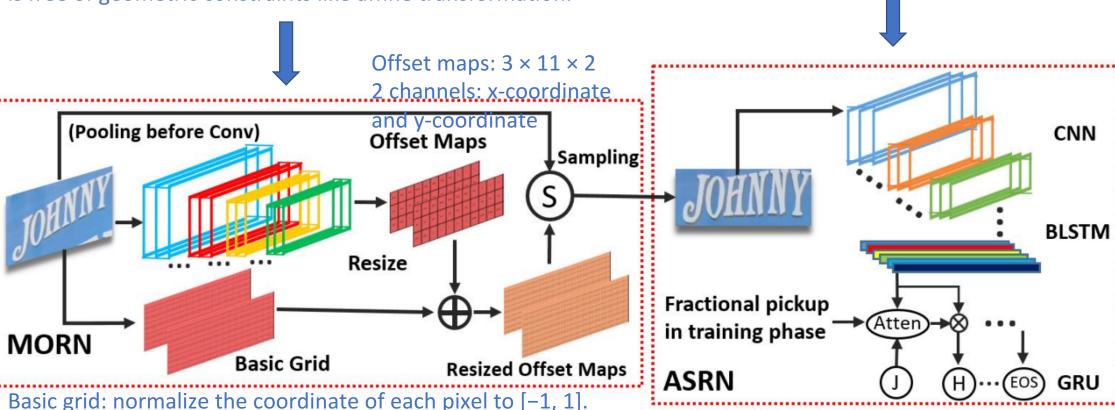
A Multi-Object Rectified Attention Network for Scene Text Recognition (<a href="https://arxiv.org/abs/1901.03003">https://arxiv.org/abs/1901.03003</a>)

### **MORAN**

Rectification Module:
Replace STN module with pixel-level rectification, which

is free of geometric constraints like affine transformation.

Recognition Module: Replace CTC layer with an attention-based decoder.



top-left pixel coordinate: (-1, -1), Figure 4. Overall structure of MORAN. bottom-right pixel coordinate: (1, 1).

### **MORAN** Rectification Samples

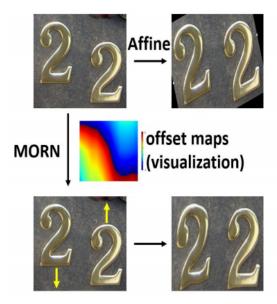


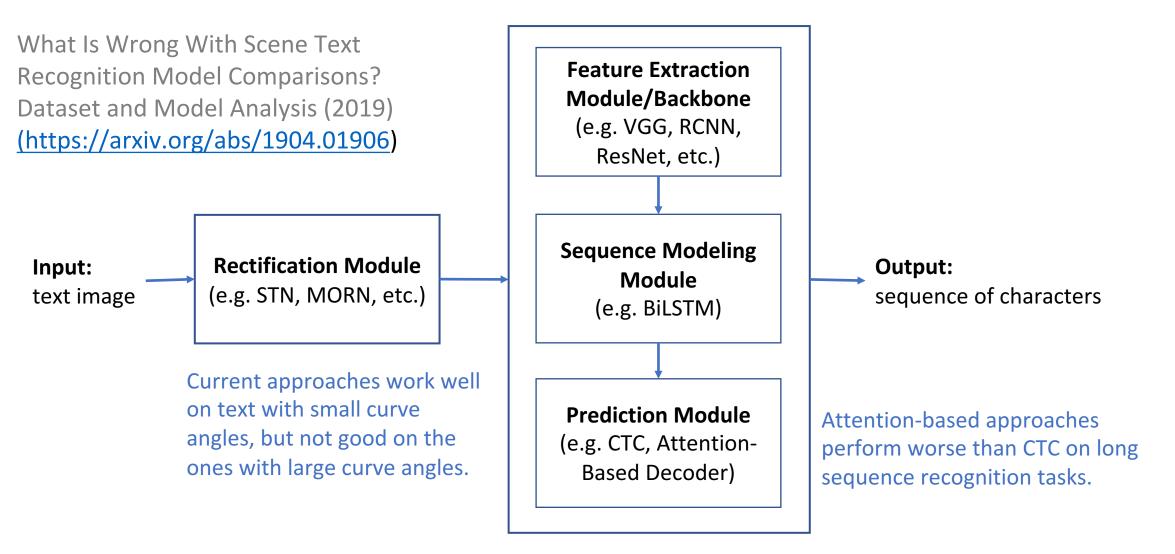
Figure 3. Comparison of the MORN and affine transformation. The MORN is free of geometric constraints. The main direction of rectification predicted by the MORN for each character is indicated by a yellow arrow. The offset maps generated by the MORN are visualized as a heat map. The offset values on the boundary between red and blue are zero. The directions of rectification on both sides of the boundary are opposite and outward. The depth of the color represents the magnitude of the offset value. The gradual-change in color indicates the smoothness of the rectification.



Figure 9. Effects of different curve angles of scene text. The first four rows are text with small curve angles and the last two rows are text with large curve angles. The MORAN can rectify irregular text with small curve angles.

**Ground Truth** 

### Summary on Network Architecture



A Comparative Study of Attention-based Encoder-Decoder Approaches to Natural Scene Text Recognition (2019) (https://ieeexplore.ieee.org/document/8978138)

# TextScanner (2020)

TextScanner: Reading Characters in Order for Robust Scene Text Recognition (<a href="https://arxiv.org/abs/1912.12422">https://arxiv.org/abs/1912.12422</a>)

Pretrain models with character-level annotation, and then do transfer learning on word-level or line-level annotation.

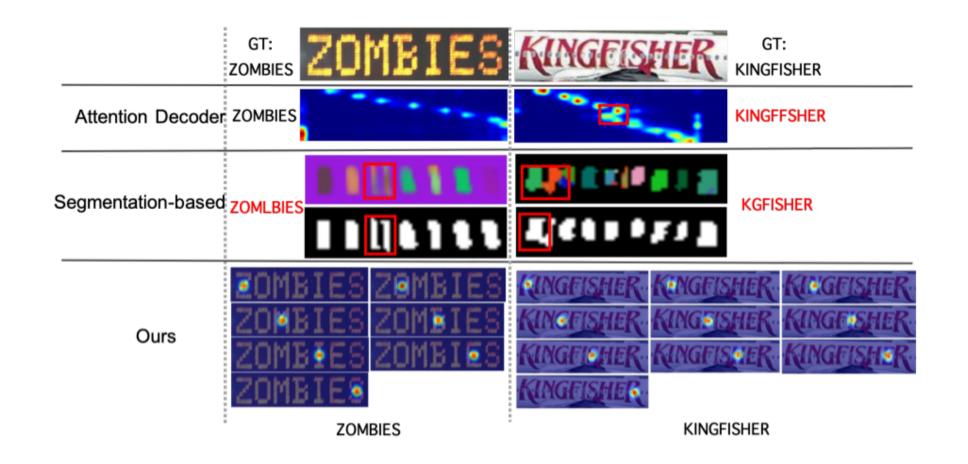


Figure 1: Our Motivation. RNN-attention-based methods may encounter the problem of *attention drift* (Cheng et al. 2017) (see the red rectangle), thus leading to incorrect prediction of character class. In semantic segmentation

### TextScanner Architecture

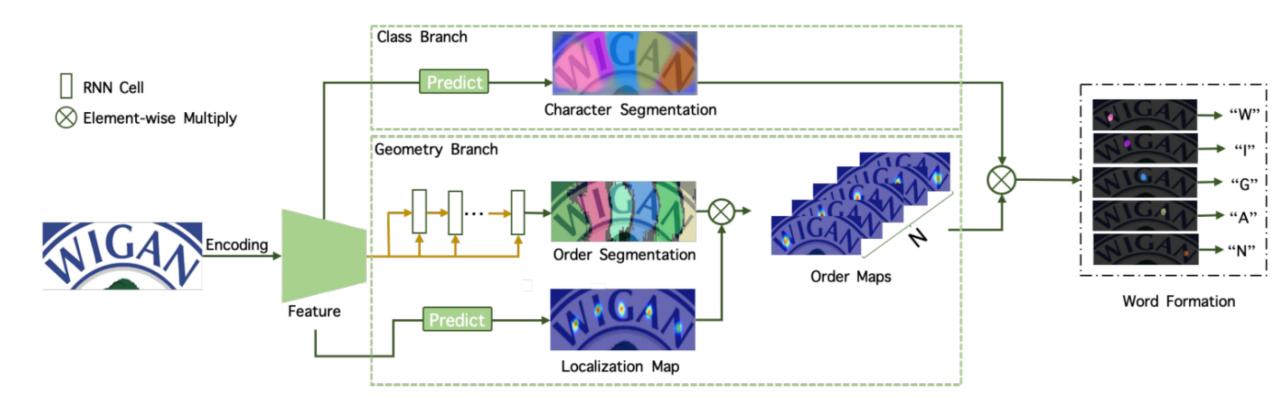


Figure 2: Schematic illustration of the proposed text recognition framework. Different colors in character segmentation map represent the values in different channels. The values in the localization map and order maps are visualized as heat maps. The predictions of the two branches are fused to extract characters (position, order, and class) and form the final output.

### **Geometry Branch Details**

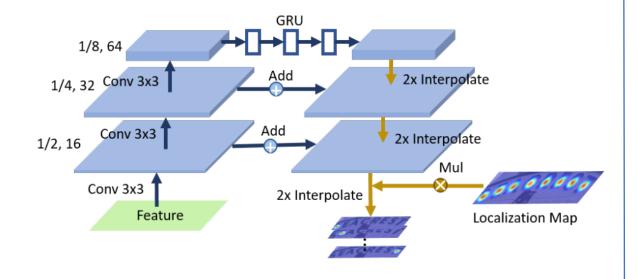


Figure 3: Illustration of the geometry branch. The feature maps are up-sampled and down-sampled by a pyramid architecture with skip connections. Features at the top layer is processed by an RNN module for context modeling.

# Character-Level Annotation for Pre-Training

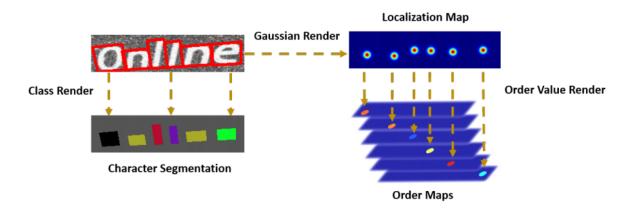


Figure 4: Ground truth generation for pre-training. Pixels outside shrunk boxes P' are represented as gray in character segmentation label, which are ignored in loss computation.

### Mutual-Supervision Mechanism

After pre-training with character-level annotations, train models with word-level or line-level annotations with mutual-supervision mechanism.

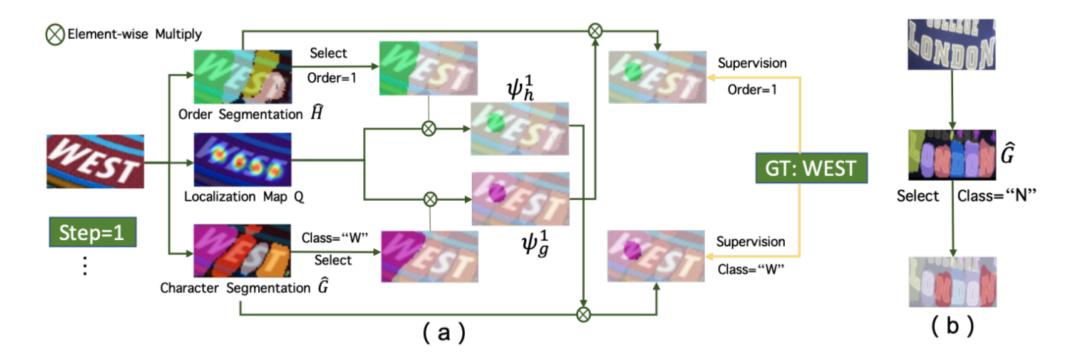


Figure 5: (a) Visualization of step 1 of mutual-supervision mechanism. The selected regions in  $\hat{G}$  and  $\hat{H}$  are refined using Q to get  $\Psi_g^1$  and  $\Psi_h^1$ , which are then mapped into  $\hat{H}$  and  $\hat{G}$  separately. (b) Two regions in  $\hat{G}$  are selected for 'N' in "LONDON".

### References

#### Slides:

- Text Detection and Recognition (Megvii: Cong Yao):
   https://github.com/zsc/megvii-pku-dl-course/blob/master/slides/Lecture7(Text%20Detection%20and%20Recognition\_20171031).pdf
- Spatial Transformer Networks (Victor Campos): https://www.slideshare.net/xavigiro/spatial-transformer-networks

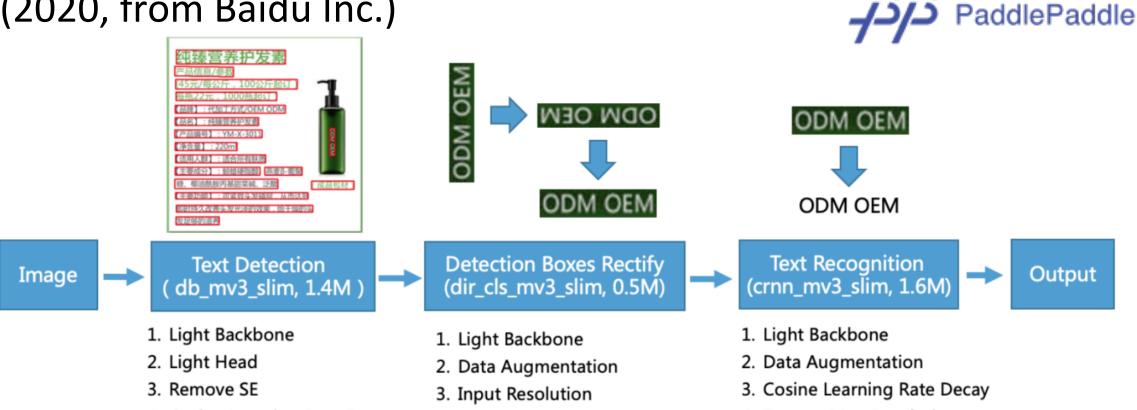
#### Videos:

- Spatial Transformer Networks (DeepMind: arxivSTmovie.m4v): https://goo.gl/qdEhUu
- DB-Net and TextScanner (Megvii: Zhaoyi Wan, Speak in Mandarin): <a href="https://www.bilibili.com/video/av83837791">https://www.bilibili.com/video/av83837791</a>

# PP-OCR (2020)

PP-OCR: A Practical Ultra Lightweight OCR System (<a href="https://arxiv.org/abs/2009.09941">https://arxiv.org/abs/2009.09941</a>) from Baidu Inc.

# PP-OCR: A Practical Ultra Lightweight OCR System (2020, from Baidu Inc.)



- 4. Cosine Learning Rate Decay
- 5. Learning Rate Warm-up
- 6. FPGM Pruner

4. PACT Quantization

- 4. Feature Map Resolution
- 5. Regularization Parameters
- 6. Learning Rate Warm-up
- 7. Light Head
- 8. Pre-trained Model
- 9. PACT Quantization

### PP-OCR Detector: DB (Differentiable Binarization)

- https://arxiv.org/pdf/1911.08947.pdf
- https://github.com/MhLiao/DB or https://github.com/WenmuZhou/DBNet.pytorch

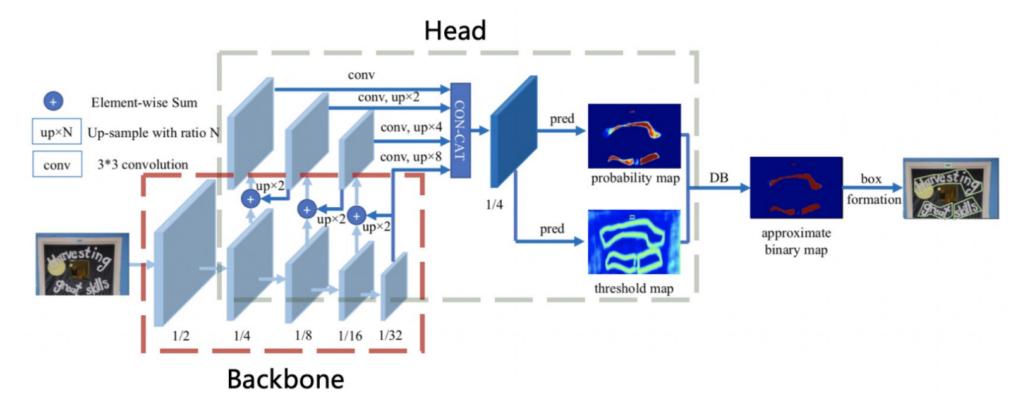


Figure 5: Architecture of the text detector DB. This figure comes from the paper of DB (Liao et al. 2020). The red and gray rectangles show the backbone and head of the text detector separately.

### PP-OCR Inference Results on Reversed Images

